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Comparison with ground state for solutions of non cooperative systems of Schrödinger operators on \mathbb{R}^N

ABSTRACT. We study the sign of solutions of a system $\mathcal{L}U = \lambda U + MU + F$, on the whole space \mathbb{R}^N , more precisely, we compare the components of U with the ground state solution. Here \mathcal{L} is a diagonal matrix of Schrödinger operators of the form $Lu := -\Delta u + qu$, F is a vector of functions in $L^2(\mathbb{R}^N)$, and M is a matrix, not necessarily cooperative. When M is a constant matrix, we prove the existence of a real Λ playing the role of principal eigenvalue: if $|\lambda - \Lambda|$ is sufficiently small, U exists and the sign of each entry is fixed. The sign of each entry changes as λ grows and get over Λ . We study the case of a variable M for a 2×2 system.

1 Introduction

In this paper we study systems defined on the whole space \mathbb{R}^N and acting on $(L^2(\mathbb{R}^N))^n$:

$$Lu_i := (-\Delta + q(x))u_i = \lambda u_i + \sum_{j=1}^n m_{ij}u_j + f_i, \quad 1 \leq i \leq n \quad (1)$$

which we write:

$$\mathcal{L}U = \lambda U + MU + F, \quad (2)$$

with $U = \begin{pmatrix} u_1 \\ \vdots \\ u_n \end{pmatrix}$, $F = \begin{pmatrix} f_1 \\ \vdots \\ f_n \end{pmatrix}$, $\mathcal{L} = \begin{pmatrix} L & & 0 \\ & \ddots & \\ 0 & & L \end{pmatrix}$, and M is a $n \times n$ matrix with coefficients m_{ij} .

The potential $q(x)$ is assumed to be a continuous function $q: \mathbb{R}^N \rightarrow \mathbb{R}$ such that

$$\inf_{\mathbb{R}^N} q > 0 \quad \text{and} \quad q(x) \rightarrow +\infty \text{ as } |x| \rightarrow \infty. \quad (3)$$

The potential is a “relatively small” perturbation of a radially symmetric potential which is assumed to be monotone increasing (in the radial variable) and growing somewhat faster than $|x|^2$ as $|x| \rightarrow \infty$.

For a unique equation

$$(-\Delta + q(x))u = \lambda u + f \text{ on } \mathbb{R}^N,$$

where q is a perturbation of a radially symmetric function, under the hypothesis $f \geq 0$, B. ALZIARY, J. FLECKINGER, and P. TAKÁČ consider the eigenvalue λ^* , associated to a function $\varphi^* > 0$. They show that for $|\lambda - \lambda^*|$ sufficiently small, if $\lambda < \lambda^*$ then $u > C\varphi^* > 0$ (fundamental positivity), and if $\lambda > \lambda^*$, and f comparable to φ^* , then $u < -C\varphi^* < 0$ (fundamental negativity).

First we are concerned with the anti-maximum principle for the system when M is a constant matrix. In the case of cooperative systems, there are several results related to the maximum principle. B. ALZIARY L. CARDOULIS, and J. FLECKINGER, obtained a maximum principle for cooperative systems, then B. ALZIARY, J. FLECKINGER, and P. TAKÁČ, proved a result of fundamental positivity. For the anti-maximum principle N. BESBAS [10, Theorem 4.3.2, p. 40] gave a theorem on the fundamental negativity for a special cooperative problem involving a radial potential q . In the present work, we study general systems (in particular non cooperative systems are allowed) and we obtain a comparison with the ground state, for the spectral parameter λ close to the ground state energy level. In this part, we extend to a $n \times n$ system some results of fundamental positivity or negativity established by B. ALZIARY, J. FLECKINGER and M.H. LÉCUREUX [3] for 2×2 systems.

In the second part, we tackle the case of a variable matrix M . Our result concerns 2×2 systems with M restricted to very specific forms.

Organization:

The paper is organized as follows. In Section 2, we introduce some notation. In Section 3 we recall some known results, in Section 4 we state our main results. Finally, in Section 5, we prove them.

2 Notations and hypotheses

2.1 Fundamental positivity, fundamental negativity, notation

It is established that the Schrödinger operator: $L_q \stackrel{\text{def}}{=} -\Delta + q(x)$ defined on $L^2(\mathbb{R}^N)$ with a positive continuous potential tending to $+\infty$ as $|x| \rightarrow \infty$ has a compact inverse and therefore a discrete spectrum. This holds since the variational space V_q is compactly

embedded in $L^2(\mathbb{R}^N)$ (see D. E. EDMUNDS AND W. D. EVANS, [14], J. FLECKINGER,[16]) where

$$V_q(\mathbb{R}^N) \stackrel{\text{def}}{=} \left\{ u \in L^2(\mathbb{R}^N) : \int_{\mathbb{R}^N} |\nabla u|^2 dx + \int_{\mathbb{R}^N} q(x)|u|^2 < \infty \right\}. \quad (4)$$

The smallest eigenvalue is simple and is given by:

$$\lambda^*(q) = \inf_{u \in V_q(\mathbb{R}^N)} \left\{ \frac{\int_{\mathbb{R}^N} |\nabla u|^2 dx + \int_{\mathbb{R}^N} q(x)|u|^2 dx}{\int_{\mathbb{R}^N} |u|^2 dx} \right\}. \quad (5)$$

Eigenfunctions associated to $\lambda^*(q)$ do not change sign and $\lambda^*(q)$ is referred to as the “**principal eigenvalue**”. Denote by φ^* (or $\varphi^*(q)$) the associated eigenfunction which is positive and normalized by $\|\varphi^*\|_{L^2(\mathbb{R}^N)}^2 = 1$. The function φ^* is $C^1(\mathbb{R}^N)$, and exponentially decreasing near infinity. Usually, φ^* is called the “**ground state**” or “**principal eigenfunction**”.

As in the paper of B. ALZIARY and P. TAKÁČ [8], we consider the operator $L_q \stackrel{\text{def}}{=} -\Delta + q(x)$ on a subspace X of $L^2(\mathbb{R}^N)$ defined, by

$$X \stackrel{\text{def}}{=} \{u \in L^2(\mathbb{R}^N) : u/\varphi^* \in L^\infty(\mathbb{R}^N)\}. \quad (6)$$

The space X equipped with the norm

$$\|u\|_X \stackrel{\text{def}}{=} \text{ess sup}_{\mathbb{R}^N} (|u|/\varphi^*)$$

is a Banach space.

Notation: We note $u \succ^* 0$ and we say that $u \in X$ is fundamentally positive if there exists a real number $c > 0$ such that $u > c\varphi^*$.

Similarly we write $u \prec^* 0$ and we say that $u \in X$ is fundamentally negative if there exists a real number $c > 0$ such that $u < -c\varphi^*$.

2.2 Hypotheses on potential

Now we give the precise assumptions on the potential q , which guarantee the compactness of the resolvent $(\lambda I - L)^{-1}$. For a single equation, ALZIARY, FLECKINGER, and TAKÁČ obtain this compactness and so the fundamental positivity and negativity for different classes of potentials [6], [9]. We choose here hypotheses used in [9], but there is no problem for obtaining the same results with the class of potential used in [6].

More precisely, we introduce a class of growth for potentials:

$$\mathcal{C}_Q := \{Q \in \mathcal{C}(\mathbb{R}_+, (0, \infty)) / \exists r_0 > 0, Q' > 0 \text{ a.e. on } [r_0, \infty), \int_{r_0}^\infty Q(r)^{-1/2} dr < \infty\}. \quad (7)$$

We assume that the potential q satisfies Hypothesis (H_q) :

Hypothesis (H_q) *The potential q is positive continuous and tends to $+\infty$ as $|x| \rightarrow \infty$. Moreover, there exist two functions Q_1 and Q_2 in \mathcal{C}_Q and two positive constants $C_0, r_0 \in (0, \infty)$, such that*

$$Q_1(|x|) \leq q(x) \leq Q_2(|x|) \leq C_0 Q_1(|x|) \quad \text{for all } x \in \mathbb{R}^N, \quad (8)$$

$$\int_{r_0}^{\infty} (Q_2(s) - Q_1(s)) \int_{r_0}^s \exp\left(-\int_r^s [Q_1(t)^{1/2} + Q_2(t)^{1/2}] dt\right) dr ds < \infty. \quad (9)$$

In their paper, ALZIARY, and TAKÁČ ([9] Corollary 3.3) show that the ground states $\varphi^*(q)$, $\varphi^*(Q_1)$ and $\varphi^*(Q_2)$ are comparable: there exist some constants $0 < \gamma_1 \leq \gamma_2 < \infty$ such that $\gamma_1 \varphi^*(q) \leq \varphi^*(Q_j) \leq \gamma_2 \varphi^*(q)$ with $j = 1, 2$. We have $X_q = X_{Q_1} = X_{Q_2}$.

Remark 2.1 The set X does not change if we change q into $q - \tilde{q}$ where \tilde{q} is a bounded function such that $q - \tilde{q} \geq 0$.

2.3 Hypotheses on matrix M and vector F

2.3.1 Case of constant matrix M

◇ Hypothesis on M

In this case, we suppose the whole spectrum of M real. More precisely:

Hypothesis (H_M): *The whole spectrum of Matrix M is in \mathbb{R} . We denote the p real eigenvalues $(\mu_i)_{1 \leq i \leq p}$ of matrix M , by*

$$\mu_1 > \mu_2 \geq \dots \geq \mu_p.$$

We assume that the largest eigenvalue μ_1 of M is algebraically and geometrically simple.

Remark 2.2 We choose to write eigenvalues μ_i in decreasing order. The Jordan's canonical form allows us to write $M = PTP^{-1}$ with :

$$T = \left(\begin{array}{c|c|c|c} J_1 & & 0 & \\ \hline & J_2 & 0 & \\ \hline & 0 & \ddots & \\ \hline & & & J_p \end{array} \right)$$

where P is a change-of-basis matrix.

Every Jordan's block J_i is a square $k_i \times k_i$ matrix, in the form :

$$J_i = \begin{pmatrix} \mu_i & 1 & 0 & \\ & \ddots & \ddots & \\ & 0 & \ddots & 1 \\ & & & \mu_i \end{pmatrix}$$

By Hypothesis (H_M), the first block is 1×1 : $J_1 = (\mu_1)$.

Notation: Let G be the eigenspace associated with μ_1 ($\dim G = 1$) and H the hyperplan spanned by other column vectors of Matrix P . By hypothesis (H_M), we have $\mathbb{R}^n = G \oplus H$. It is important to notice that, in matrix P , we can choose for the first column, every non null vector of G .

◇ **Hypothesis on F**

We recall that in the whole space, the anti-maximum principle could be violated for the equation

$$-\Delta u + q(x)u = \lambda u + f$$

if the function f is in $L^2(\mathbb{R}^N) \setminus X$ (cf. [5, Example 4.1, pp. 377–379]). So the fundamental negativity does not hold for $0 \leq f \not\equiv 0$. For results on systems presented in this article, of course we need to consider vector F with all the components f_k in X .

We can decompose $F(x)$ into $F(x) = F_G(x) + F_H(x)$ with $F_G(x) \in G$ and $F_H(x) \in H$.

Hypothesis (H_F): All components f_i of vector F are in X and let us decompose $F(x) = F_G(x) + F_H(x)$ where $F_G(x) \in G$ and $F_H(x) \in H$. We assume there exists $\Psi \in G$ such that $F(x) = \tilde{f}_1(x)\Psi + F_H(x)$ with $\tilde{f}_1 \geq 0$ (a.e.), and $F_G = \tilde{f}_1\Psi \not\equiv 0$.

Vector Ψ is in G so we have : $M\Psi = \mu_1\Psi$. Its components ψ_i are constant real numbers. In Matrix $P = (p_{ij})$ we choose Ψ for the first column. So $\psi_i = p_{i1}$.

2.3.2 Case of variable M

In this case, M is a 2×2 matrix. We note $M = \begin{pmatrix} a(x) & b(x) \\ c(x) & d(x) \end{pmatrix}$.

Assumptions on Matrix M allow us to diagonalise this matrix with the help of a change-of-basis matrix with real and constant coefficients. These very particular forms of matrix are studied by COSNER and SCHAEFER [13]. If $a \not\equiv d$, we need b and c proportional to $a - d$; if $a \equiv d$, b is proportional to c and have the same sign. In the first case, where $a \not\equiv d$, we need to have a constant sign for $a - d$. In the second case, we suppose $a \equiv d$.

Hypothesis (H_{Mv1}) (case $a \not\equiv d, a \geq d$): We assume:

- ◇ Functions a and d are continuous, in $L^\infty(\mathbb{R}^N)$, and $a \geq d \geq 0$ with $a \not\equiv d$.
- ◇ There exist two real numbers \hat{b} and \hat{c} such that $b = \hat{b}(a - d)$ and $c = \hat{c}(a - d)$, and $\hat{D} = 1 + 4\hat{b}\hat{c} > 0$.

Note that with hypotheses $a(x) \geq 0$ and $d(x) \geq 0$ we do not loose generality: we can add a positive number to each side to obtain these hypotheses.

In this case, we always use Hypothesis (H_F), but we can write it differently.

Hypothesis (H_{Fv1}) (case $a \neq d, a \geq d$): We assume $f_1, f_2 \in X$,

$$\tilde{f}_1 = f_1 + \frac{2\widehat{b}}{1 + \sqrt{\widehat{D}}}f_2 \geq 0 \quad \text{and} \quad \tilde{f}_1 \neq 0.$$

Hypothesis (H_{Mv2}) (case $a \equiv d$): We assume:

- ◇ The equality $a = d$ and this function is in $L^\infty(\mathbb{R}^N)$. Moreover $\forall x \in \mathbb{R}^N, a(x) \geq 0$.
- ◇ There exist two positive real numbers \widehat{b} and \widehat{c} such that $b = \widehat{c}r$ and $c = \widehat{b}r$, where ϵ is ± 1 and $r \in L^\infty(\mathbb{R}^N)$ is a bounded, positive and continuous function.

Hypothesis (H_F) can now be written:

Hypothesis (H_{Fv2}) (case $a \equiv d$): We assume $f_1, f_2 \in X$,

$$\sqrt{\widehat{c}}f_1 + \epsilon\sqrt{\widehat{b}}f_2 \geq 0 \quad \text{and} \quad \sqrt{\widehat{c}}f_1 + \epsilon\sqrt{\widehat{b}}f_2 \neq 0.$$

Remark 2.3 Under Hypotheses (H_{Mv1}) or (H_{Mv2}), M has two real eigenvalues. We denote them by $\nu^+(x) \geq \nu^-(x)$. The two functions ν^+ and ν^- are in $L^\infty(\mathbb{R}^N)$.

3 Known Results

We recall here some results of fundamental positivity and fundamental negativity.

Our proof uses some results in Alziary, Takáč, ([8]) then Alziary, Fleckinger, Takáč, ([5]) and Alziary, Takáč, ([9]) for fundamental positivity, in Besbas, ([10]) for fundamental negativity.

For q with superquadratic growth and for $f/\varphi^*(q) \in L^\infty$, they study

$$(-\Delta + q)u = \lambda u + f \tag{10}$$

and they show that there exist positive numbers c and δ (depending on q, f and λ) such that:

$$\begin{aligned} \lambda < \lambda^*(q) &\Rightarrow u \succ^* 0, \text{ (fundamental positivity)} \\ \lambda^*(q) < \lambda < \lambda^*(q) + \delta &\Rightarrow u \prec^* 0, \text{ (fundamental negativity)}. \end{aligned}$$

Fundamental Positivity

Theorem 3.1 ([8, Theorem 2.1, p. 284])([9, Theorem 3.1, p. 41])

Assume (H_q) is satisfied and $f \in L^2(\mathbb{R}^N)$, $f \geq 0$ a.e. on \mathbb{R}^N , $f \not\equiv 0$. For $\lambda < \lambda^*(q)$ there exists a unique solution u to Equation (10) which is positive; and there exists a constant $c > 0$ such that

$$u > c\varphi^*(q) > 0 \quad (\text{fundamental positivity}). \quad (11)$$

Moreover, if also $f \leq C\varphi^*(q)$, with some constant $C > 0$, then we have

$$u \leq c'\varphi^*(q) \quad \text{everywhere, with } c' = \frac{C}{\lambda^*(q) - \lambda}. \quad (12)$$

Corollary 3.2 ([9]): The constant c defined in (11) tends to ∞ as $\lambda \rightarrow \lambda^*(q)$.

This result plays an important role in the proof of our main Theorems:

Corollary 3.3 Assume $f \in X$ (not necessarily $f \geq 0$), for $\lambda < \lambda^*(q)$, u exists and we have

$$|u| \leq \frac{\|f\|_X}{\lambda^*(q) - \lambda} \varphi^*(q). \quad (13)$$

Indeed if we denote by $\mathcal{K}|_X$ the restriction of $\mathcal{K} = (L_q - \lambda I)^{-1}$ to the Banach space X , the operator $\mathcal{K}|_X$ is linear and bounded in X with norm $\leq \frac{1}{\lambda^*(q) - \lambda}$ ([9], p. 41).

Fundamental Negativity

It has been shown first in [1] for a radial potential and then in [9].

Theorem 3.4 ([9, Theorem 3.4, p. 42]) Assume (H_q) is satisfied; let $f \in X$ be such that $f \geq 0$ a.e. on \mathbb{R}^N , $f \not\equiv 0$. Then there exists $\delta(f) > 0$ and $c > 0$ such that for all $\lambda \in (\lambda^*(q); \lambda^*(q) + \delta)$,

$$u \leq -c\varphi^*(q) \quad (\text{fundamental negativity}). \quad (14)$$

Remark 3.5 The same holds if we assume only $\int_{\mathbb{R}^N} f\varphi^*(q) dx > 0$.

Corollary 3.6 ([10]): The constant c defined in (14) tends to ∞ as $\lambda \rightarrow \lambda^*(q)$.

Remark 3.7 Besbas ([10]) uses a slightly different space $X^{1,2} \subset X$; it coincides with X for radially symmetric functions.

Remark 3.8 Fundamental negativity improves the antimaximum principle introduced in Clément-Pelletier ([12]).

4 Main Results

4.1 System $n \times n$

This result concerns System (2) where M is a constant matrix:

$$(2) \quad \mathcal{L}U := \begin{pmatrix} (-\Delta + q(x)) & & 0 \\ & \ddots & \\ 0 & & (-\Delta + q(x)) \end{pmatrix} \begin{pmatrix} u_1 \\ \vdots \\ u_n \end{pmatrix} = \lambda U + MU + F,$$

Recall that, by hypothesis (H_q) , (H_M) and (H_F) , M has only real eigenvalues; its largest eigenvalue μ_1 is simple and there exists Ψ eigenvector of M associated with μ_1 , such that $F(x) = \tilde{f}_1(x)\Psi + F_H(x)$ with $\tilde{f}_1 \geq 0$ (a.e.) Denote (ψ_i) the components of Ψ .

Theorem 4.1 *We assume Hypotheses (H_q) , (H_M) and (H_F) .*

Let $\Lambda := \lambda^(q) - \mu_1$. Then there exist two real numbers $\delta > 0$ and $\delta' > 0$, depending on q , M , F , such that*

- *If $\lambda \in (\Lambda - \delta; \Lambda)$ then System (2) admits a unique solution $U = (u_i)$. Moreover, for each integer $i \in [1, n]$, $u_i \in X$ and $\psi_i u_i \succ^* 0$.*
- *If $\lambda \in (\Lambda; \Lambda + \delta')$ then System (2) admits a unique solution $U = (u_i)$. Moreover, for each integer $i \in [1, n]$ $u_i \in X$ and $\psi_i u_i \prec^* 0$.*

Remark 4.2 If M is irreducible and cooperative, we know that there exists Ψ with all components strictly positive. We obtain the fundamental positivity below Λ and the fundamental negativity above Λ .

4.2 Variable Matrix M

Here M is a variable 2×2 matrix $M = \begin{pmatrix} a(x) & b(x) \\ c(x) & d(x) \end{pmatrix}$.

The system is:

$$\begin{pmatrix} -\Delta + q(x) & 0 \\ 0 & -\Delta + q(x) \end{pmatrix} \begin{pmatrix} u_1 \\ u_2 \end{pmatrix} = \lambda \begin{pmatrix} u_1 \\ u_2 \end{pmatrix} + \begin{pmatrix} a(x) & b(x) \\ c(x) & d(x) \end{pmatrix} \begin{pmatrix} u_1 \\ u_2 \end{pmatrix} + \begin{pmatrix} f_1 \\ f_2 \end{pmatrix}. \quad (15)$$

As we will see in the proof, the two real eigenvalues of M are $\nu^+(x) \geq \nu^-(x)$, and the functions ν^+ and ν^- are continuous, bounded. Let $\nu_{max}^+ = \sup \{\nu^+(x), x \in \mathbb{R}\}$.

By Remark 2.1, we know that X is the same set for q , for $q^+ = q - \nu^+ + \nu_{max}^+$ and for $q^- = q - \nu^- + \nu_{max}^+$. We denote $\lambda^*(q^+)$ the principal eigenvalue of $-\Delta + q^+$ and $\lambda^*(q^-)$ the principal eigenvalue of $-\Delta + q^-$.

1. First case

Under Hypothesis 2.3.2 (H_{Mv1}), let us set \widehat{b} , \widehat{c} the two real numbers such that $b = \widehat{b}(a - d)$ and $c = \widehat{c}(a - d)$.

Theorem 4.3 (case $a \neq d$) We assume Hypotheses (H_q), (H_{Mv1}) and (H_{Fv1}):

$$f_1 + \frac{2\widehat{b}}{1 + \sqrt{\widehat{D}}}f_2 \geq 0 \text{ a.e.}, \quad f_1 + \frac{2\widehat{b}}{1 + \sqrt{\widehat{D}}}f_2 \neq 0$$

Let $\Lambda = \lambda^*(q^+) - \nu_{max}^+$. Then there exist two real numbers $\delta > 0$ and $\delta' > 0$, depending on q , M , F , such that

- If $\Lambda - \delta < \lambda < \Lambda$, then System (15) admits a unique solution $U = (u_i)$. Moreover,

$$u_1 \succ^* 0 \text{ and } \widehat{c}u_2 \succ^* 0.$$

- If $\Lambda < \lambda < \Lambda + \delta'$, then System (15) admits a unique solution $U = (u_i)$. Moreover,

$$u_1 \prec^* 0 \text{ and } \widehat{c}u_2 \prec^* 0.$$

Under Hypothesis 2.3.2 (H_{Mv2}), recall that functions $b = \widehat{\epsilon}br$ and $c = \widehat{\epsilon}cr$ have the same sign, given by $\epsilon = \pm 1$.

Theorem 4.4 (case $a \equiv d$) We assume Hypotheses (H_q), (H_{Mv2}) and (H_{Fv2}):

$$\sqrt{\widehat{b}}f_1 + \epsilon\sqrt{\widehat{c}}f_2 \geq 0 \text{ a.e.}, \quad \sqrt{\widehat{b}}f_1 + \epsilon\sqrt{\widehat{c}}f_2 \neq 0.$$

Let $\Lambda = \lambda^*(q^+)$. Then there exist two real numbers $\delta > 0$ and $\delta' > 0$, depending on q , M , F , such that

- If $\Lambda - \delta < \lambda < \Lambda$, then System (15) admits a unique solution $U = (u_i)$. Moreover,

$$u_1 \succ^* 0 \text{ and } \epsilon u_2 \succ^* 0.$$

- If $\Lambda < \lambda < \Lambda + \delta'$, then System (15) admits a unique solution $U = (u_i)$. Moreover,

$$u_1 \prec^* 0 \text{ and } \epsilon u_2 \prec^* 0.$$

5 Proofs

5.1 Proof of Theorem 4.1

1/ **First case:** $\lambda < \Lambda = \lambda^*(q) - \mu_1$

First step: change of basis

We use the Jordan's block matrix $T = \left(\begin{array}{c|c|c|c} J_1 & & 0 & \\ \hline & J_2 & 0 & \\ \hline & 0 & \ddots & \\ \hline & & & J_p \end{array} \right)$ associated with matrix M in

System (2):

$$\mathcal{L}U := \lambda U + MU + F.$$

There is a matrix P such that $T = P^{-1}MP$. More precisely, by Hypothesis (H_M) and Hypothesis (H_F) we can choose for the first column of change-of-basis matrix $P : \Psi \in G$ such that $F = \tilde{f}_1\Psi + F_H$ with $\tilde{f}_1 \geq 0$ and $F_H(x) \in H$.

Now let us introduce the following notation:

$$U = P\tilde{U} \Leftrightarrow \tilde{U} = \begin{pmatrix} \tilde{u}_1 \\ \vdots \\ \tilde{u}_n \end{pmatrix} = P^{-1}U \quad \text{and} \quad F = P\tilde{F} \Leftrightarrow \tilde{F} = \begin{pmatrix} \tilde{f}_1 \\ \vdots \\ \tilde{f}_n \end{pmatrix} = P^{-1}F.$$

All potentials are equal, so System (2) becomes

$$\mathcal{L}\tilde{U} = \lambda\tilde{U} + T\tilde{U} + \tilde{F}. \quad (16)$$

By Hypothesis (H_M) the first equation in System (16) is

$$L\tilde{u}_1 = \lambda\tilde{u}_1 + \mu_1\tilde{u}_1 + \tilde{f}_1, \quad (17)$$

where, by Hypothesis (H_F) , $\tilde{f}_1 \geq 0$ and $\tilde{f}_1 \neq 0$.

Look at the Jordan's block J_i with $2 \leq i \leq p$. The matrix J_i is $k_i \times k_i$. Set $s_i = \sum_{m=1}^{i-1} k_m$ with $k_1 = 1$.

From line $s_i + 1$ to line $s_i + k_i - 1$, we obtain $k_i - 1$ equations:

$$L\tilde{u}_j = \lambda\tilde{u}_j + \mu_i\tilde{u}_j + \tilde{u}_{j+1} + \tilde{f}_j \quad \text{if } s_i + 1 \leq j < s_i + k_i - 1, \quad (18)$$

and the last one:

$$L\tilde{u}_j = \lambda\tilde{u}_j + \mu_i\tilde{u}_j + \tilde{f}_j \quad \text{for } j = s_i + k_i = s_{i+1}. \quad (19)$$

Second step: study of the triangular system (16)
In the first line

Using Theorem 3.1, we obtain that $L\tilde{u}_1 = \lambda\tilde{u}_1 + \mu_1\tilde{u}_1 + \tilde{f}_1$ has a solution, $u_1 \succ^* 0$ (fundamental positivity), and since $\tilde{f}_1 \geq 0$ a.e. on \mathbb{R}^N ,

$$c(\lambda)\varphi^* \leq \tilde{u}_1.$$

If $\lambda \rightarrow \Lambda$, by Corollary (3.2) $c(\lambda) \rightarrow +\infty$.

In other lines we look at every Jordan's block.

In i^{th} block, with $2 \leq i \leq p$, from line $s_i + 1$ to line s_{i+1} .

• Line s_{i+1} : In Equation (19) $L\tilde{u}_{s_{i+1}} = \lambda\tilde{u}_{s_{i+1}} + \mu_i\tilde{u}_{s_{i+1}} + \tilde{f}_{s_{i+1}}$ by Corollary 3.3 the solution $\tilde{u}_{s_{i+1}}$ exists and satisfies the inequality

$$|\tilde{u}_{s_{i+1}}| \leq \frac{\|\tilde{f}_{s_{i+1}}\|_X}{\lambda^*(q) - \mu_i - \lambda} \varphi^*. \quad (20)$$

By (H_M) , $\lambda < \lambda^*(q) - \mu_1 < \lambda^*(q) - \mu_i$. So $|\tilde{u}_{s_{i+1}}| \leq \frac{\|\tilde{f}_{s_{i+1}}\|_X}{\mu_1 - \mu_i} \varphi^*$.

Hence, for $i > 1$, the function $\tilde{u}_{s_{i+1}}$ is in X , and $\|\tilde{u}_{s_{i+1}}\|_X \leq c_{s_{i+1}}$ where the constant $c_{s_{i+1}}$ depends only on F and M .

• From line $s_i + 1$ to line $s_{i+1} - 1$

For $j = s_{i+1} - 1$, we have $L\tilde{u}_j = \lambda\tilde{u}_j + \mu_i\tilde{u}_j + \tilde{u}_{s_{i+1}} + \tilde{f}_j$.

Set $\tilde{g}_j = \tilde{u}_{s_{i+1}} + \tilde{f}_{s_{i+1}}$. This function \tilde{g}_j is in X , and $\|\tilde{g}_j\|_X \leq l_j$ where the constant l_j depends only on F and M .

Therefore, by Corollary 3.3 we obtain the existence of \tilde{u}_j and

$$|\tilde{u}_j| \leq \frac{\|\tilde{g}_j\|_X}{\lambda^*(q) - \mu_i - \lambda} \varphi^* \leq \frac{l_j}{\mu_1 - \mu_i} \varphi^*.$$

So, for $j = s_{i+1} - 1$, $\tilde{u}_j \in X$, and $\|\tilde{u}_j\|_X \leq c_j$ where c_j depends only on F and M .

Step by step, we can use the same argument from line $s_{i+1} - 1$ to line $s_i + 1$. Therefore we obtain, in each block, for each integer j with $s_i + 1 \leq j \leq s_{i+1} - 1$, the existence of the solution \tilde{u}_j which is in X . Moreover, $\|\tilde{u}_j\|_X \leq c_j$ where the real c_j depends only on F and M .

To sum up, we have, for $2 \leq j \leq n$,

$$|\tilde{u}_j| \leq c_j \varphi^*, \quad (21)$$

where the real c_j depends only on F and M ,
and for $j = 1$,

$$c(\lambda)\varphi^* \leq \tilde{u}_1, \quad (22)$$

where $c(\lambda)$ depends on F , M , λ and $c(\lambda) \nearrow +\infty$ when $\lambda \nearrow \Lambda$.

Third step: consequence for the initial system (2)

$U = P\tilde{U}$ implies for each component $1 \leq i \leq n$:

$$u_i = p_{i1}\tilde{u}_1 + \sum_{j=2}^n p_{ij}\tilde{u}_j.$$

As $\lambda \rightarrow \Lambda$, we have $\tilde{u}_1 \geq c(\lambda)\varphi^*(q)$, where $c(\lambda)$ tends to infinity; and by (21), $\sum_{j=2}^n p_{ij}\tilde{u}_j$ is bounded by a constant times φ^* .

Therefore there exists $\delta_i > 0$ such that for $\lambda \in (\Lambda - \delta_i; \Lambda)$ the function

$$u_i = p_{i1}\tilde{u}_1 + \sum_{j=2}^n p_{ij}\tilde{u}_j$$

has the same sign than p_{i1} . More precisely, if $p_{i1} > 0$, $u_i \succ^* 0$, and if $p_{i1} < 0$ $u_i \prec^* 0$.

But the first eigenvector Ψ is the first column of the change-of-basis matrix P : $\psi_i = p_{i1}$. We obtain, in the case $\Lambda - \delta \leq \lambda < \Lambda$, where $\delta = \min_i \delta_i$,

$$\psi_i u_i \succ^* 0 \text{ (fundamentally positive)}$$

2/ **Second case** $\lambda > \Lambda = \lambda^* - \mu_1$ and $|\lambda - \Lambda|$ small:

there is $\delta_0 > 0$ with $\Lambda < \lambda < \Lambda + \delta_0 < \lambda^* - \mu_2 \leq \dots \leq \lambda^* - \mu_n$.

First step

We transform System (2) into System (16) exactly as above.

Second step: study of the triangular system (16)

In the first line (17) $L\tilde{u}_1 = \lambda\tilde{u}_1 + \mu_1\tilde{u}_1 + \tilde{f}_1$,

we can apply the fundamental negativity results (Theorem 3.4): there is $\delta_1(F) > 0$ such that if $\Lambda < \lambda < \Lambda + \delta_1 < \Lambda + \delta_0$, then $\tilde{u}_1 \leq -c(\lambda)\varphi^*(q)$, and by Corollary 3.6: $c(\lambda)$ grows to $+\infty$ when $\lambda \rightarrow \Lambda$.

In the other equations, $L\tilde{u}_i = \lambda\tilde{u}_i + \mu_k\tilde{u}_i + \tilde{f}_i$ we have $\lambda < \lambda^* - \mu_i$. Hence by fundamental positivity and corollary 3.2, as in the case $\lambda < \Lambda$, we have by (21), $\sum_{j=2}^n p_{ij}\tilde{u}_j$ bounded by a constant times φ^* .

Third step: consequence for the initial system (2)

In $u_i = p_{i1}\tilde{u}_1 + \sum_{j=2}^n p_{ij}\tilde{u}_j$, we have $\sum_{j=2}^n p_{ij}\tilde{u}_j$ bounded by a constant times φ^* and $\tilde{u}_1 < -c(\lambda)\varphi^*(q)$ tending to $-\infty$ when λ tends to Λ .

So there is $\delta' > 0$ such that : if $\Lambda < \lambda < \Lambda + \delta'$ we obtain $p_{j1}u_j = \psi_j u_j$ fundamentally negative: $\psi_j u_j \prec 0$. □

5.2 Proof of Theorems 4.3 and 4.4

Here we study System (15):

$$\begin{pmatrix} -\Delta + q(x) & 0 \\ 0 & -\Delta + q(x) \end{pmatrix} \begin{pmatrix} u_1 \\ u_2 \end{pmatrix} = \lambda \begin{pmatrix} u_1 \\ u_2 \end{pmatrix} + \begin{pmatrix} a(x) & b(x) \\ c(x) & d(x) \end{pmatrix} \begin{pmatrix} u_1 \\ u_2 \end{pmatrix} + \begin{pmatrix} f_1 \\ f_2 \end{pmatrix}.$$

1/ Proof of Theorem 4.3

First step : study of eigenvalues

By Hypothesis (H_{Mv1}) , there exist two real numbers \hat{b}, \hat{c} such that $b = \hat{b}(a - d)$ and $c = \hat{c}(a - d)$. Since $a \geq d$, the two functions b and c never change sign. Moreover $\hat{D} = 1 + 4\hat{b}\hat{c}$ is positive.

By calculation we obtain two eigenvalues : $\nu^+(x) = \frac{1}{2} \left(a(x) + d(x) + (a(x) - d(x))\sqrt{\hat{D}} \right)$, and $\nu^-(x) = \frac{1}{2} \left(a(x) + d(x) - (a(x) - d(x))\sqrt{\hat{D}} \right)$.

Since $a \geq d$, $a \not\equiv d$ and $\hat{D} > 0$, we have $\nu^+ \geq \nu^-$, $\nu^+ \not\equiv \nu^-$. By (H_{Mv1}) , the two functions a and d are continuous and bounded, so ν^+ and ν^- are continuous and bounded. Set $\nu_{max}^+ = \sup_x \nu^+(x)$.

By Remark 2.1, the set X is the same for the two potentials $q^+ = q + \nu_{max}^+ - \nu^+$ and $q^- = q + \nu_{max}^+ - \nu^-$. We have $q^- \geq q^+ > 0$, with $q^- \not\equiv q^+$.

The principal eigenvalue of $L_{q^-} \stackrel{\text{def}}{=} -\Delta + q^-(x) \bullet$ is

$$\lambda^*(q^-) = \inf_{u \in V_{q^-}(\mathbb{R}^N)} \left\{ \frac{\int_{\mathbb{R}^N} |\nabla u|^2 dx + \int_{\mathbb{R}^N} q^-(x)|u|^2 dx}{\int_{\mathbb{R}^N} |u|^2 dx} \right\}$$

and we know that

$$\lambda^*(q^-) = \int_{\mathbb{R}^N} |\nabla \varphi^*(q^-)|^2 dx + \int_{\mathbb{R}^N} q^- |\varphi^*(q^-)|^2 dx,$$

where $\varphi^*(q^-)$ is the ground state of $-\Delta + q^-(x)$, which is positive and normalized by $\|\varphi^*(q^-)\|_{L^2(\mathbb{R}^N)}^2 = 1$.

By $\nu^-(x) \leq \nu^+(x)$, $\nu^- \not\equiv \nu^+$, and by continuity we have

$$\int_{\mathbb{R}^N} (\nu_{max}^+ - \nu^-(x)) |\varphi^*(q^-(x))|^2 dx > \int_{\mathbb{R}^N} (\nu_{max}^+ - \nu^+(x)) |\varphi^*(q^-(x))|^2 dx,$$

so

$$\int_{\mathbb{R}^N} q^-(x) |\varphi^*(q^-)|^2 dx > \int_{\mathbb{R}^N} q^+(x) |\varphi^*(q^-)|^2 dx.$$

Therefore

$$\lambda^*(q^-) > \int_{\mathbb{R}^N} |\nabla \varphi^*(q^-)|^2 dx + \int_{\mathbb{R}^N} q^+(x) |\varphi^*(q^-)|^2 dx.$$

We obtain $\varphi^*(q^-) \in V_{q^+}$ and

$$\lambda^*(q^-) > \inf_{u \in V_{q^+}(\mathbb{R}^N)} \left\{ \frac{\int_{\mathbb{R}^N} |\nabla u|^2 dx + \int_{\mathbb{R}^N} q^+(x) |u|^2 dx}{\int_{\mathbb{R}^N} |u|^2 dx} \right\} = \lambda^*(q^+).$$

Second step: diagonalization of the system (15)

We choose the eigenvectors $v^+ = \begin{pmatrix} \frac{1 + \sqrt{\widehat{D}}}{2} \\ \widehat{c} \end{pmatrix}$ associated with ν^+ and $v^- = \begin{pmatrix} -\widehat{b} \\ \frac{1 + \sqrt{\widehat{D}}}{2} \end{pmatrix}$

associated with ν^- .

Let P the matrix with columns vectors v^+ and v^- . The inverse matrix is

$$P^{-1} = \frac{1}{\sqrt{\widehat{D}}} \begin{pmatrix} 1 & \frac{2\widehat{b}}{1 + \sqrt{\widehat{D}}} \\ -2\widehat{c} & 1 \end{pmatrix}.$$

As before, we note: $\widetilde{U} = \begin{pmatrix} \widetilde{u}_1 \\ \widetilde{u}_2 \end{pmatrix} = P^{-1} \begin{pmatrix} u_1 \\ u_2 \end{pmatrix}$ and $\begin{pmatrix} \widetilde{f}_1 \\ \widetilde{f}_2 \end{pmatrix} = P^{-1} \begin{pmatrix} f_1 \\ f_2 \end{pmatrix}$.

The components of P and P^{-1} are constants. So, if $f_1, f_2 \in X$, then \widetilde{f}_1 and \widetilde{f}_2 are also in X .

By this change of basis, System (15)

$$\mathcal{L}U = \lambda \begin{pmatrix} u_1 \\ u_2 \end{pmatrix} + \begin{pmatrix} a(x) & b(x) \\ c(x) & d(x) \end{pmatrix} \begin{pmatrix} u_1 \\ u_2 \end{pmatrix} + \begin{pmatrix} f_1 \\ f_2 \end{pmatrix},$$

is written in two equations:

$$-\Delta \tilde{u}_1 + q \tilde{u}_1 = \lambda \tilde{u}_1 + \nu^+ \tilde{u}_1 + \tilde{f}_1,$$

$$-\Delta \tilde{u}_2 + q \tilde{u}_2 = \lambda \tilde{u}_2 + \nu^- \tilde{u}_2 + \tilde{f}_2$$

where $\tilde{f}_1 \geq 0$, $\tilde{f}_1 \not\equiv 0$ by Hypothesis (H_{Fv1} .)

Set $q^+ = q + \nu_{max}^+ - \nu^+$, and $q^- = q + \nu_{max}^+ - \nu^-$, we derive

$$-\Delta \tilde{u}_1 + q^+ \tilde{u}_1 = (\lambda + \nu_{max}^+) \tilde{u}_1 + \tilde{f}_1, \quad (23)$$

$$-\Delta \tilde{u}_2 + q^- \tilde{u}_2 = (\lambda + \nu_{max}^+) \tilde{u}_2 + \tilde{f}_2. \quad (24)$$

If $\lambda < \lambda^*(q^-) - \nu_{max}^+$, Equation (24) satisfies the Theorem of Fundamental Positivity, and by Corollary 3.3 we have

$$|\tilde{u}_2| \leq (\lambda^*(q^-) - \nu_{max}^+ - \lambda)^{-1} C_{\tilde{f}_2} \varphi^*$$

• If $\lambda < \lambda^*(q^+) - \nu_{max}^+ < \lambda^*(q^-) - \nu_{max}^+$,

we obtain

$$|\tilde{u}_2| \leq (\lambda^*(q^-) - \lambda - \nu_{max}^+)^{-1} C_{\tilde{f}_2} \varphi^* \leq \frac{C_{\tilde{f}_2}}{\lambda^*(q^-) - \lambda^*(q^+)} \varphi^*.$$

Equation (23) satisfies the fundamental positivity result, so we have

$$\tilde{u}_1 \geq C(\lambda, \tilde{f}_1) \varphi^*$$

and $C(\lambda, \tilde{f}_1)$ tends to infinity, when λ tends to $\lambda^*(q^+) - \nu_{max}^+$. Consequently \tilde{u}_2 is bounded, and \tilde{u}_1 tends to infinity.

Now we can derive U from $U = P\tilde{U}$; we have:

$$u_1 = \frac{1 + \sqrt{\widehat{D}}}{2} \tilde{u}_1 - \widehat{b} \tilde{u}_2, \quad (25)$$

$$u_2 = \widehat{c} \tilde{u}_1 + \frac{1 + \sqrt{\widehat{D}}}{2} \tilde{u}_2. \quad (26)$$

So there exists a real number $\delta > 0$, depending on F and M , such that for all $\lambda^*(q^+) - \nu_{max}^+ - \delta < \lambda < \lambda^*(q^+) - \nu_{max}^+$,

$$u_1 \succ^* 0 \text{ and } \widehat{c} u_2 \succ^* 0.$$

- If $\lambda^*(q^+) - \nu_{max}^+ < \lambda < \lambda^*(q^-) - \nu_{max}^+$

By Theorem 3.4 in Equation (23) there exists δ_1 (depending on F) such that for all λ with $\lambda^*(q^+) < \lambda + \nu_{max}^+ < \lambda^*(q^+) + \delta_1$, \tilde{u}_1 exists and $\tilde{u}_1 \stackrel{*}{\prec} 0$. We can choose $\delta_1 < \lambda^*(q^-) - \lambda^*(q^+)$, and assume $\lambda^*(q^+) - \nu_{max}^+ < \lambda < \lambda^*(q^+) - \nu_{max}^+ + \delta_1 < \lambda^*(q^-) - \nu_{max}^+$.

In Equation 24, by $\lambda < \lambda^*(q^-) - \nu_{max}^+$ we can apply the Fundamental Positivity Result. So \tilde{u}_2 exists, and

$$|\tilde{u}_2| \leq (\lambda^*(q^-) - \lambda - \nu_{max}^+)^{-1} C_{\tilde{f}_2} \varphi^* \leq \frac{1}{\lambda^*(q^-) - \lambda^*(q^+) - \delta_1} \varphi^*.$$

We have \tilde{u}_2 bounded by a constant times φ^* , and $\tilde{u}_1 \leq -C(\lambda, \tilde{f}_1) \varphi^*$, with $C(\lambda, \tilde{f}_1)$ tending to infinity when λ tends to $\lambda^*(q^+) - \nu_{max}^+$.

Relations (25) and (26) are always true. So there exists a real $0 < \delta \leq \delta_1$ such that:

if $\lambda^*(q^+) - \nu_{max}^+ < \lambda < \lambda^*(q^+) - \nu_{max}^+ + \delta < \lambda^*(q^-) - \nu_{max}^+$, we have $u_1 \stackrel{*}{\prec} 0$ and $\hat{c}u_2 \stackrel{*}{\prec} 0$. \square

2/ Proof of Theorem 4.4

By Hypothesis (H_{Mv2}), $a = d$ and there exist two real numbers \hat{b}, \hat{c} such that $b = \hat{c}\hat{b}r$ and $c = \hat{c}\hat{c}r$, with $\epsilon = \pm 1$. The function $r \in L^\infty(\mathbb{R}^N)$ is continuous, positive and bounded.

The matrix $M(x)$ has two eigenvalues, $\nu^+(x) = a(x) + \sqrt{\hat{b}\hat{c}}r(x)$ and $\nu^-(x) = a(x) - \sqrt{\hat{b}\hat{c}}r(x)$. The function r is positive, bounded and continuous so the function $\nu^+ - \nu^- = 2\sqrt{\hat{b}\hat{c}}r(x)$ is positive, bounded and continuous. Let $q^+ = q + \nu_{max}^+ - \nu^+$ and $q^- = q + \nu_{max}^+ - \nu^-$.

We have, as in the first step of the proof of Theorem 4.3, $\lambda(q^-) > \lambda(q^+)$.

Eigenvectors associated to ν^+ and ν^- are $v^+ = \begin{pmatrix} \sqrt{\hat{b}} \\ \epsilon\sqrt{\hat{c}} \end{pmatrix}$ and $v^- = \begin{pmatrix} -\epsilon\sqrt{\hat{b}} \\ \sqrt{\hat{c}} \end{pmatrix}$.

With these eigenvectors, we obtain

$$P^{-1} = \begin{pmatrix} 1 & \epsilon \\ \frac{1}{2\sqrt{\hat{b}}} & \frac{\epsilon}{2\sqrt{\hat{c}}} \\ -\epsilon & 1 \\ \frac{1}{2\sqrt{\hat{b}}} & \frac{\epsilon}{2\sqrt{\hat{c}}} \end{pmatrix}.$$

The components of P and P^{-1} are constants.

We always denote $\begin{pmatrix} \tilde{u}_1 \\ \tilde{u}_2 \end{pmatrix} = P^{-1} \begin{pmatrix} u_1 \\ u_2 \end{pmatrix}$ and $\begin{pmatrix} \tilde{f}_1 \\ \tilde{f}_2 \end{pmatrix} = P^{-1} \begin{pmatrix} f_1 \\ f_2 \end{pmatrix}$. Functions \tilde{f}_1 and \tilde{f}_2 are in X , and by Hypothesis (H_{Fv2}), $\tilde{f}_1 \geq 0$, and $\tilde{f}_1 \not\equiv 0$. We obtain the same equations as above:

$$(23) \quad -\Delta\tilde{u}_1 + q^+\tilde{u}_1 = (\lambda + \nu_{max}^+) \tilde{u}_1 + \tilde{f}_1,$$

$$(24) \quad -\Delta \tilde{u}_2 + q^- \tilde{u}_2 = (\lambda + \nu_{max}^+) \tilde{u}_2 + \tilde{f}_2,$$

where $\tilde{f}_1 \geq 0$, $\tilde{f}_1 \not\equiv 0$ by Hypothesis (H_{Fv1}) .

The study of the comparison with the ground state is the same as in Theorem 4.3. So \tilde{u}_2 is still bounded in X . For \tilde{u}_1 :

- if $\lambda < \lambda^*(q^+) - \nu_{max}^+$, then $\tilde{u}_1 \geq C(\lambda, F)\varphi^*$, where $C(\lambda, F) \rightarrow \infty$ when $\lambda \rightarrow \lambda^*(q^+) - \nu_{max}^+$,
- if $\lambda > \lambda^*(q^+) - \nu_{max}^+$ and $|\lambda - (\lambda^*(q^+) - \nu_{max}^+)|$ small, we have $\tilde{u}_1 \leq -C(\lambda, F)\varphi^*$, where $C(\lambda, F) \rightarrow \infty$ when $\lambda \rightarrow \lambda^*(q^+) - \nu_{max}^+$.

But now the change of basis gives:

$$u_1 = \sqrt{\tilde{b}} \tilde{u}_1 - \epsilon \sqrt{\tilde{b}} \tilde{u}_2, \quad (27)$$

$$u_2 = \epsilon \sqrt{\tilde{c}} \tilde{u}_1 + \sqrt{\tilde{c}} \tilde{u}_2. \quad (28)$$

By similar arguments, we obtain

- the existence of δ such that: if $\lambda^*(q^+) - \nu_{max}^+ - \delta < \lambda < \lambda^*(q^+) - \nu_{max}^+ < \lambda^*(q^-) - \nu_{max}^+$, then $u_1 \succ^* 0$ and $\epsilon u_2 \succ^* 0$,
- the existence of δ' such that: if $\lambda^*(q^+) - \nu_{max}^+ < \lambda < \lambda^*(q^+) - \nu_{max}^+ + \delta' < \lambda^*(q^-) - \nu_{max}^+$, then $u_1 \prec^* 0$ and $\epsilon u_2 \prec^* 0$. ■

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received: March 1, 2010

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