

# A short note on hit-and-miss hyperspaces

Dedicated to Professor Som Naimpally  
on the occasion of his  
70th birthday

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**ABSTRACT.** Based on some set-theoretical observations, compactness results are given for general hit-and-miss hyperspaces. Compactness here is sometimes viewed splitting into "κ-Lindelöfness" and "κ-compactness" for cardinals κ. To focus only hit-and-miss structures, could look quite old-fashioned, but some importance, at least for the techniques, is given by a recent result, [8], of Som Naimpally, to who this article is hearty dedicated.

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## 1. INTRODUCTION

Let  $(X, \tau)$  be a topological space. By  $\mathfrak{P}(X)$ ,  $\mathfrak{P}_0(X)$ ,  $Cl(X)$  and  $K(X)$  respectively we denote the power set, the power set without the empty set  $\emptyset$ , the family of all closed subsets and the set of all compact subsets of  $X$ . For  $B \in \mathfrak{P}(X)$  and  $\mathfrak{A} \subseteq \mathfrak{P}(X)$  we define  $B^{-\mathfrak{A}} := \{A \in \mathfrak{A} | A \cap B \neq \emptyset\}$  (hit-set) and  $B^{+\mathfrak{A}} := \{A \in \mathfrak{A} | A \cap B = \emptyset\}$  (miss-set). Specializing  $\mathfrak{A} := Cl(X)$ , we get the usual symbols  $B^-, B^+$ . By  $\tau_{\mathfrak{A}}$  we denote the topology for  $\mathfrak{A}$ , generated by the subbase of all  $G^{-\mathfrak{A}}, G \in \tau$ . Now consider  $\emptyset \neq \alpha \subseteq \mathfrak{P}(X)$ ; by  $\tau_{\alpha, \mathfrak{A}}$  we denote the topology for  $\mathfrak{A}$  which is generated from the subbase of all  $B^{+\mathfrak{A}}, B \in \alpha$  and  $G^{-\mathfrak{A}}, G \in \tau$ . Of course, for every possible  $\alpha$  we have  $\tau_{\mathfrak{A}} \subseteq \tau_{\alpha, \mathfrak{A}}$ ; for  $\alpha = Cl(X)$  we get the Vietoris topology and for  $\alpha = K(X)$  we get the Fell topology for  $\mathfrak{A}$ . If  $\alpha = \Delta \subseteq Cl(X)$ ,  $\tau_{\alpha, \mathfrak{A}}$  is called  $\Delta$ -topology by Beer and Tamaki [2], and was first introduced by Poppe [10].

By  $\mathfrak{F}(X)$  and  $\mathfrak{F}_0(X)$  we denote the set of all filters and ultrafilters, respectively, on a set  $X$  (a filter is not allowed to contain the empty set  $\emptyset$ ); the symbol  $\mathfrak{F}(\varphi)$  (resp.  $\mathfrak{F}_0(\varphi)$ ) means the set of all filters (resp. ultrafilters) which contain a given filter  $\varphi$ ;  $\dot{x}$  is the filter generated by a singleton  $\{x\}$ ,  $x \in X$ . The symbol  $q_\tau$  denotes the convergence structure induced by a topology  $\tau$ , i.e.  $q_\tau := \{(\varphi, x) \in \mathfrak{F}(X) \times X | \varphi \supseteq \dot{x} \cap \tau\}$ , so  $q_\tau$  is a relation between filters and points of a set  $X$ .

If  $X$  is a set,  $\tau, \mathfrak{A}$  are subsets of  $\mathfrak{P}(X)$ , then we call  $\mathfrak{A}$  *weakly complementary w.r.t.*  $\tau$ , iff for every subset  $\sigma \subseteq \tau$  there exist a subset  $\mathfrak{B} \subseteq \mathfrak{A}$ , s.t.  $\bigcup_{B \in \mathfrak{B}} B = X \setminus \bigcup_{S \in \sigma} S$ .

**Lemma 1.1.** *Let  $X$  be a set,  $\tau, \mathfrak{A} \subseteq \mathfrak{P}(X)$  and  $K \subseteq X$ . Then holds*

$$\bigcup_{i \in I} G_i \supseteq K \implies \bigcup_{i \in I} G_i^{-\mathfrak{A}} \supseteq K^{-\mathfrak{A}}$$

for every collection  $G_i, i \in I, G_i \in \tau$ .

If  $\mathfrak{A}$  is weakly complementary w.r.t.  $\tau$ , then for every collection  $G_i, i \in I, G_i \in \tau$  the implication

$$\bigcup_{i \in I} G_i \supseteq K \iff \bigcup_{i \in I} G_i^{-\mathfrak{A}} \supseteq K^{-\mathfrak{A}}$$

holds, too.

*Proof.* Let  $\bigcup_{i \in I} G_i \supseteq K$ .  $A \in K^{-\mathfrak{A}} \Rightarrow A \cap K \neq \emptyset \Rightarrow \emptyset \neq A \cap \bigcup_{i \in I} G_i \Rightarrow \exists i_0 \in I : A \cap G_{i_0} \neq \emptyset \Rightarrow A \in G_{i_0}^{-\mathfrak{A}} \Rightarrow A \in \bigcup_{i \in I} G_i^{-\mathfrak{A}}$ .

Conversely, let  $\mathfrak{A}$  be weakly complementary w.r.t.  $\tau$  and  $\bigcup_{i \in I} G_i^{-\mathfrak{A}} \supseteq K^{-\mathfrak{A}}$ . Assume  $\bigcup_{i \in I} G_i \not\supseteq K$ . Then  $X \setminus \bigcup_{i \in I} G_i \supseteq K \setminus \bigcup_{i \in I} G_i \neq \emptyset$  holds, so there is an  $A \in \mathfrak{A}$ ,  $A \subseteq X \setminus \bigcup_{i \in I} G_i$  with  $A \cap K \setminus \bigcup_{i \in I} G_i \neq \emptyset$ . Thus  $A \in K^{-\mathfrak{A}}$ , implying  $A \in \bigcup_{i \in I} G_i^{-\mathfrak{A}}$ . This yields  $\exists i_0 \in I : A \cap G_{i_0} \neq \emptyset$  in contradiction to the construction of  $A$ .  $\square$

**Corollary 1.2.** *Let  $X$  be a set,  $\tau, \mathfrak{A} \subseteq \mathfrak{P}(X)$  and  $K \subseteq X$ . Then holds*

$$(1.1) \quad \bigcup_{i \in I} G_i \supseteq K \iff \bigcup_{i \in I} G_i^{-\mathfrak{A}} \supseteq K^{-\mathfrak{A}}$$

for every collection  $G_i, i \in I, G_i \in \tau$  if and only if  $\mathfrak{A}$  is weakly complementary w.r.t.  $\tau$ .

*Proof.* We only have to show, that  $\mathfrak{A}$  is weakly complementary w.r.t.  $\tau$ , if (1.1) holds. Assume,  $\mathfrak{A}$  is not weakly complementary w.r.t.  $\tau$ . Then there must be a collection  $\{G_i | i \in I\} \subseteq \tau$ , such that  $\bigcup \{A | A \in \mathfrak{P}(X \setminus \bigcup_{i \in I} G_i) \cap \mathfrak{A}\} \not\supseteq X \setminus \bigcup_{i \in I} G_i$ . Now, we chose  $K := (X \setminus \bigcup_{i \in I} G_i) \setminus \bigcup \{A | A \in \mathfrak{P}(X \setminus \bigcup_{i \in I} G_i) \cap \mathfrak{A}\} \neq \emptyset$ . Then no element of  $\mathfrak{A}$ , which meets  $K$ , can be contained in  $X \setminus \bigcup_{i \in I} G_i$ , i.e. every element of  $K^{-\mathfrak{A}}$  meets  $\bigcup_{i \in I} G_i$ , too. So, it must meet a  $G_{i_0}, i_0 \in I$  and consequently it is contained in  $\bigcup_{i \in I} G_i^{-\mathfrak{A}}$ . But, by construction, the collection  $\{G_i | i \in I\}$  doesn't cover  $K$ , so (1.1) would fail.  $\square$

Obviously, if for every collection  $\{G_i | i \in I\} \subseteq \tau$  the complement  $X \setminus \bigcup_{i \in I} G_i$  itself belongs to  $\mathfrak{A}$ , or if all singletons  $\{x\}, x \in X$  are elements of  $\mathfrak{A}$ , then  $\mathfrak{A}$  is weakly complementary w.r.t.  $\tau$ . So, if  $\tau$  is a topology on  $X$ ,  $Cl(X)$  and  $K(X)$  are weakly complementary w.r.t.  $\tau$ .

**Corollary 1.3.** *Let  $(X, \tau)$  be a topological space,  $K \subseteq X$  and  $\forall i \in I : G_i \in \tau$ . Then holds*

$$\bigcup_{i \in I} G_i \supseteq K \iff \bigcup_{i \in I} G_i^- \supseteq K^-$$

We have yet another easy, but useful set-theoretical lemma:

**Lemma 1.4.** *Let  $X$  be a set,  $\mathfrak{A} \subseteq \mathfrak{P}(X)$  and  $\varphi \in \mathfrak{F}(X)$ . Assume,  $\mathfrak{A}$  is closed under finite unions of its elements. Then holds*

$$\varphi \cap \mathfrak{A} \neq \emptyset \iff \forall \psi \in \mathfrak{F}_0(\varphi) : \psi \cap \mathfrak{A} \neq \emptyset,$$

i.e. a filter contains an  $\mathfrak{A}$ -set, iff each refining ultrafilter contains an  $\mathfrak{A}$ -set.

*Proof.* Suppose  $\forall \psi \in \mathfrak{F}_0(\varphi) : \exists A_\psi \in \mathfrak{A} : A_\psi \in \psi$ . Now, assume  $\varphi \cap \mathfrak{A} = \emptyset$ . From this automatically follows  $X \notin \mathfrak{A}$ .

Consider  $\mathfrak{B} := \{X \setminus A | A \in \mathfrak{A}\}$ . Because of the closedness of  $\mathfrak{A}$  under finite unions,  $\mathfrak{B}$  is closed under finite intersection of its elements, and  $\emptyset \notin \mathfrak{B}$ , because  $X \notin \mathfrak{A}$ . For any  $F \in \varphi, B \in \mathfrak{B}$  we have  $F \cap B \neq \emptyset$ , because  $F \cap B = \emptyset$  would imply  $F \subseteq X \setminus B \in \mathfrak{A}$  and therefore  $\varphi \cap \mathfrak{A} \neq \emptyset$ . So,  $\varphi \cup \mathfrak{B}$  is a subbase of a filter and consequently, there exists an ultrafilter  $\psi$ , containing  $\varphi \cup \mathfrak{B}$ , therefore containing  $\varphi$  and the complement of every  $\mathfrak{A}$ -set - in contradiction to  $\forall \psi \in \mathfrak{F}_0(\varphi) : \psi \cap \mathfrak{A} \neq \emptyset$ . The other direction of the statement of the lemma is obvious.  $\square$

**Definition 1.5.** *Let  $\kappa$  be a cardinal. Then a topological space  $(X, \tau)$  is called  $\kappa$ -compact, iff every open cover of  $X$  with cardinality at most  $\kappa$  admits a finite subcover.*

*$(X, \tau)$  is called  $\kappa$ -Lindelöf, iff every open cover of  $X$  admits a subcover of cardinality at most  $\kappa$ .*

A filter is called  $\kappa$ -generated, iff it has a base of cardinality at most  $\kappa$ . A filter  $\varphi$  is called  $\kappa$ -completable, iff every subset  $\mathfrak{B} \subseteq \varphi$  with  $\text{card}(\mathfrak{B})$  at most  $\kappa$  fulfills  $\bigcap_{B \in \mathfrak{B}} B \neq \emptyset$ . It is called  $\kappa$ -complete, iff  $\bigcap_{B \in \mathfrak{B}} B \in \varphi$  holds under this condition.

**Proposition 1.6.** *A topological space  $(X, \tau)$  is  $\kappa$ -compact, if and only if every  $\kappa$ -generated filter on  $X$  has a convergent refining ultrafilter.*

*Proof.* Let  $(X, \tau)$  be  $\kappa$ -compact and  $\varphi$  a filter on  $X$  with a base  $\mathfrak{B}$  of cardinality at most  $\kappa$ . Assume, all refining ultrafilters of  $\varphi$  would fail to converge in  $X$ . Then for each element  $x \in X$ , all refining ultrafilters of  $\varphi$  contain the complement of an open neighbourhood of  $x$ . But the set of complements of open neighbourhoods of a point  $x$  is closed w.r.t. finite unions, thus by Lemma 1.4,  $\varphi$  contains the complement of an open neighbourhood of  $x$ . So, for each  $x \in X$  there must exist  $O_x \in \tau \cap \varphi$  and  $B_x \in \mathfrak{B}$ , s.t.  $B_x \subseteq X \setminus O_x$ , implying  $\overline{B_x} \subseteq X \setminus O_x$  and thus  $X \setminus \overline{B_x} \supseteq O_x$ . Now, for each  $B \in \mathfrak{B}$  we define  $O_B := X \setminus \overline{B}$  and find, that  $\{O_B \mid B \in \mathfrak{B}\}$  is an open cover of  $X$ , because of the preceding facts. So, there must exist a finite subcover  $O_{B_1} \cup \dots \cup O_{B_n} = X$ , implying  $\bigcup_{i=1}^n (X \setminus \overline{B_i}) = X$ , just meaning  $\bigcap_{i=1}^n \overline{B_i} = \emptyset$ , which is impossible, because all  $B_i$  belong to the filter  $\varphi$ . So, the assumption must be false; there must exist convergent refining ultrafilters of  $\varphi$ .

Otherwise, let all  $\kappa$ -generated filters on  $X$  have a convergent refining ultrafilter. Assume, there would exist an open cover  $\mathfrak{C} := \{O_i \in \tau \mid i \in I\}$ ,  $\bigcup_{i \in I} O_i = X$ ,  $\text{card}(I) \leq \kappa$  such that all finite subcollections fail to cover  $X$  (implying  $\kappa$  to be infinite). But the set of all finite subcollections of the infinite collection  $\mathfrak{C}$  of cardinality at most  $\kappa$  has cardinality at most  $\kappa$ , too. So,  $\mathfrak{B} := \{X \setminus \bigcup_{k=1}^n O_{i_k} \mid n \in \mathbb{N}, i_k \in I\}$  is a filterbasis of cardinality at most  $\kappa$ , thus there must exist an ultrafilter  $\varphi \supseteq \mathfrak{B}$ , which converges in  $X$  - leading to the usual contradiction, because every  $x \in X$  is contained in an open  $O_x \in \mathfrak{C}$  and  $X \setminus O_x$  belongs to  $\mathfrak{B} \subseteq \varphi$ .  $\square$

Analogously we get a characterization of  $\kappa$ -Lindelöf-spaces.

**Proposition 1.7.** *If  $(X, \tau)$  is  $\kappa$ -Lindelöf, then every  $\kappa$ -completable filter on  $X$  has a convergent refining ultrafilter.*

*If  $\kappa$  is an infinite cardinal and every  $\kappa$ -complete filter on a topological space  $(X, \tau)$  has a convergent refining ultrafilter, then  $(X, \tau)$  is  $\kappa$ -Lindelöf.*

Of course, every  $\kappa$ -complete filter is  $\kappa$ -completable, so we may say, that a topological space  $(X, \tau)$  is  $\kappa$ -Lindelöf, if and only if each  $\kappa$ -complete filter on  $X$  has a convergent refinement.

## 2. COMPACTNESS PROPERTIES FOR HYPERSPACES

**Lemma 2.1.** *Let  $\kappa$  be a cardinal,  $(X, \tau)$  a topological space and let  $\mathfrak{A} \subseteq \mathfrak{P}(X)$  be weakly complementary w.r.t.  $\tau$ . If  $\mathfrak{A}_0 := \mathfrak{A} \setminus \{\emptyset\}$  is  $\kappa$ -Lindelöf (resp.  $\kappa$ -compact) in  $\tau_{\mathfrak{A}, \mathfrak{A}_0}$ , then  $(X, \tau)$  is  $\kappa$ -Lindelöf (resp.  $\kappa$ -compact).*

*Proof.* If  $\mathfrak{A}$  is weakly complementary w.r.t.  $\tau$ , then  $\mathfrak{A}_0$  is, too. So, Corollary 1.2 is applicable. Let  $\{G_i \mid i \in I\}$  be an open cover (resp. an open cover with cardinality at most  $\kappa$ ) of  $X$ . By Corollary 1.2, then  $\{G_i^{-\mathfrak{A}_0} \mid i \in I\}$  is an open cover of  $X^{-\mathfrak{A}_0} = \mathfrak{A}_0$  (resp. of card. at most  $\kappa$ ), so there exists a subset  $J \subseteq I$  of cardinality at most  $\kappa$  (resp. a finite subset  $J$ ), s.t.  $\bigcup_{j \in J} G_j^{-\mathfrak{A}_0} \supseteq \mathfrak{A}_0 = X^{-\mathfrak{A}_0}$ , implying  $\bigcup_{j \in J} G_j \supseteq X$  by Corollary 1.2.  $\square$

Of course, the assumed topology  $\tau_{\mathfrak{A}, \mathfrak{A}_0}$  is not really hit-and-miss, because the miss-sets are missed. But every proper hit-and-miss topology would be stronger and therefore it would enforce the desired properties for  $(X, \tau)$  as well.

**Lemma 2.2.** *Let  $(X, \tau)$  be a  $\kappa$ -compact (resp.  $\kappa$ -Lindelöf) topological space and assume  $\text{Cl}(X) \subseteq \mathfrak{A} \subseteq \mathfrak{P}(X)$ . Then  $\mathfrak{A}_0 := \mathfrak{A} \setminus \{\emptyset\}$  is  $\kappa$ -compact (resp.  $\kappa$ -Lindelöf) in  $\tau_{\mathfrak{A}, \mathfrak{A}_0}$ .*

*Proof.* Let  $\hat{\varphi}$  be a  $\kappa$ -generated (resp.  $\kappa$ -complete) filter on  $\mathfrak{A}_0$ . Then, for an arbitrary  $h \in \mathcal{A} := \{g \in X^{\mathfrak{P}_0(X)} \mid \forall M \in \mathfrak{P}_0(X) : g(M) \in M\}$  the image  $h(\hat{\varphi})$  is a  $\kappa$ -generated (resp.  $\kappa$ -complete) filter on  $X$  and consequently it has a  $\tau$ -convergent refining ultrafilter  $\psi_h$ . Furthermore, there must exist an ultrafilter  $\hat{\psi} \supseteq \hat{\varphi}$ , s.t.  $h(\hat{\psi}) = \psi_h$ . So, the set

$$A := \{a \in X \mid \exists f \in \mathcal{A} : (f(\hat{\psi}), a) \in q_\tau\}$$

is not empty and consequently the closure  $\bar{A}$  belongs to  $\mathfrak{A}_0$ . Now, for any  $O \in \tau$  with  $\bar{A} \in O^{-\mathfrak{a}_0} (\Leftrightarrow \bar{A} \cap O \neq \emptyset)$  we get  $A \cap O \neq \emptyset$  (because of the closure-properties). Now, the assumption  $O^{-\mathfrak{a}_0} \notin \hat{\psi}$  would imply  $O^{+\mathfrak{a}_0} \in \hat{\psi}$ , yielding  $\forall f \in \mathcal{A} : X \setminus O \in f(\hat{\psi})$ , thus  $\forall f \in \mathcal{A} : \forall b \in A \cap O : (f(\hat{\psi}), b) \notin q_\tau$  - in contradiction to the construction of  $A$ . Thus,  $O \in \tau, \bar{A} \in O^{-\mathfrak{a}_0}$  always imply  $O^{-\mathfrak{a}_0} \in \hat{\psi}$  and consequently  $\hat{\psi}$   $\tau_{\mathfrak{A}_0}$ -converges to  $\bar{A}$ .  $\square$

**Definition 2.3.** Let  $(X, \tau)$  be a topological space. A subset  $A \subseteq X$  is called weakly relatively complete in  $X$ , iff

$$\forall \varphi \in \mathfrak{F}(A) \cap q_\tau^{-1}(X) : \mathfrak{F}(\varphi) \cap q_\tau^{-1}(A) \neq \emptyset,$$

i.e. every filter  $\varphi$  on  $A$ , which converges in  $X$ , has a refinement, converging in  $A$ .

**Proposition 2.4.** Let  $(X, \tau)$  be a topological space and  $A \subseteq X$ . Then holds:

- (a)  $A$  is weakly relatively complete in  $X$ , iff  $\mathfrak{F}_0(A) \cap q_\tau^{-1}(X) = \mathfrak{F}_0(A) \cap q_\tau^{-1}(A)$ , i.e. every ultrafilter on  $A$ , which converges in  $X$ , converges in  $A$ .
- (b) If  $A$  is closed in  $X$ , then  $A$  is weakly relatively complete in  $X$ .
- (c) If  $A$  is compact, then  $A$  is weakly relatively complete in  $X$ .
- (d) If  $(X, \tau)$  is compact and  $A$  is weakly relatively complete in  $X$ , then  $A$  is compact.
- (e) If  $(X, \tau)$  is Hausdorff, then every weakly relatively complete subset  $A \subseteq X$  is closed in  $(X, \tau)$ .
- (f)  $A$  is compact iff  $A$  is weakly relatively complete and relatively compact.
- (g) If  $(X, \tau)$  is  $\kappa$ -compact and  $A$  is weakly relatively complete in  $(X, \tau)$ , then  $A$  is  $\kappa$ -compact.
- (h) If  $(X, \tau)$  is  $\kappa$ -Lindelöf and  $A$  is weakly relatively complete in  $(X, \tau)$ , then  $A$  is  $\kappa$ -Lindelöf.
- (i) Weak relative completeness is transitive, i.e. for all  $A \subseteq B \subseteq X$  with  $B$  weakly relatively complete in  $(X, \tau)$  and  $A$  weakly relatively complete in  $(B, \tau|_B)$ , the subset  $A$  is weakly relatively complete in  $(X, \tau)$ .

There is also a useful description by coverings for weak relative completeness.

**Lemma 2.5.** Let  $(X, \tau)$  be a topological space and  $A \subseteq X$ . Then the following are equivalent:

- (1)  $A$  is weakly relatively complete in  $X$ .
- (2) For every open cover  $\mathfrak{A}$  of  $A$  and every element  $x$  of  $X$ , there is an open neighbourhood  $U_{x, \mathfrak{A}}$  of  $x$ , s.t.  $U_{x, \mathfrak{A}} \cap A$  is covered by finitely many members of  $\mathfrak{A}$ .
- (3) For every open cover  $\mathfrak{A}$  of  $A$  there exists an open cover  $\mathfrak{A}' \supseteq \mathfrak{A}$  of  $X$ , such that the intersection of every member of  $\mathfrak{A}'$  with  $A$  can be covered by finitely many members of  $\mathfrak{A}$ , i.e.  $\forall O \in \mathfrak{A}' : \exists n \in \mathbb{N}, P_1, \dots, P_n \in \mathfrak{A} : \bigcup_{i=1}^n P_i \supseteq O \cap A$  holds.

*Proof.* (1) $\Rightarrow$ (2): Let  $\mathfrak{A} \subseteq \tau$  with  $\bigcup_{P \in \mathfrak{A}} P \supseteq A$  be given. For every  $x \in A$  we can chose a single member of  $\mathfrak{A}$  as open neighbourhood, whose intersection with  $A$  is covered by itself. So, assume

$$(2.2) \quad \exists x \in X \setminus A : \forall U_x \in \underline{U}(x) \cap \tau : \forall n \in \mathbb{N}, P_1, \dots, P_n \in \mathfrak{A} : U_x \cap A \not\subseteq \bigcup_{i=1}^n P_i$$

Then  $\mathfrak{B} := \{(U \cap A) \setminus \bigcup_{i=1}^n P_i \mid U \in \underline{U}(x) \cap \tau, n \in \mathbb{N}, P_i \in \mathfrak{A}\}$  would be closed under finite intersections and thus there would exist an ultrafilter  $\varphi$  on  $A$  with  $\varphi \supseteq \mathfrak{B}$ . By construction  $\varphi \rightarrow x$  must hold for this ultrafilter, and now by the weak relative completeness of  $A$  it follows  $\exists a \in A : \underline{U}(a) \subseteq \varphi$ . But  $\mathfrak{A}$  is an open cover of  $A$ , so there is an open set  $P \in \mathfrak{A}$  with  $a \in P$ , implying  $P \in \varphi$  – in contradiction to the construction of  $\varphi$ . Thus (2.2) is false and we have

$$\forall x \in X \setminus A : \exists U_x \in \underline{U}(x) \cap \tau : \exists n \in \mathbb{N}, P_1, \dots, P_n \in \mathfrak{A} : U_x \cap A \subseteq \bigcup_{i=1}^n P_i$$

(2) $\Rightarrow$ (3): Note, that (3) is fulfilled with  $\mathfrak{A}' := \{U_x \mid x \in X \setminus A\} \cup \mathfrak{A}$ .

(3) $\Rightarrow$ (1): For a given ultrafilter  $\varphi$  on  $A$  with  $\varphi \rightarrow x \in X$  assume  $\varphi \not\subseteq q_\tau^{-1}(A)$ . Then  $\forall a \in A : \exists U_a \in \underline{U}(a) \cap \tau : U_a^c = X \setminus U_a \in \varphi$ . With these neighbourhoods define  $\mathfrak{A} := \{U_a \mid a \in A\}$ , which is an open cover of  $A$ . By (2) there is an open cover  $\mathfrak{A}' \supseteq \mathfrak{A}$  of  $X$  such that  $\forall O \in \mathfrak{A}' : \exists n \in \mathbb{N}, P_1, \dots, P_n \in \mathfrak{A} : \bigcup_{i=1}^n P_i \supseteq O \cap A$  holds. Now,  $\varphi \rightarrow x$  implies  $\exists O \in \mathfrak{A}' : O \in \varphi$  (especially  $A \cap O \neq \emptyset$  follows), and then we have  $\exists n \in \mathbb{N}, P_1, \dots, P_n \in \mathfrak{A} : O \cap A \subseteq \bigcup_{i=1}^n P_i$ , implying  $\exists j \in \{1, \dots, n\} : P_j \in \varphi$  – in contradiction to the construction of  $\mathfrak{A}$ . So, the assumption  $\varphi \not\subseteq q_\tau^{-1}(A)$  must be false, showing, that every ultrafilter on  $A$ , which converges in  $X$ , converges in  $A$ .  $\square$

**Theorem 2.6.** *Let  $(X, \tau)$  be a topological space, and let  $\alpha \subseteq \mathfrak{P}(X)$  consist of weakly relatively complete subsets of  $X$ . Then holds for any  $\mathfrak{A}$  with  $Cl(X) \subseteq \mathfrak{A} \subseteq \mathfrak{P}(X)$ :  $(\mathfrak{A}_0, \tau_\alpha)$  is compact  $\iff (X, \tau)$  is compact.*

*Proof.* According to Lemma 2.1 we need only to show that  $(\mathfrak{A}_0, \tau_\alpha)$  is compact, if  $(X, \tau)$  is compact. So, assuming  $(X, \tau)$  to be compact, by Proposition 2.4 every weakly relatively complete subset of  $X$  is compact, and we have  $\alpha \subseteq K(X)$ . Now we will use Alexander's Lemma: let  $\underline{U}$  be a cover of  $\mathfrak{A}_0$ , consisting of subbase elements  $K_i^{+\mathfrak{a}_0}, G_j^{-\mathfrak{a}_0}$  with  $K_i$  compact and  $G_j$  open.

$A := X \setminus (\bigcup \{G \mid G^{-\mathfrak{a}_0} \in \underline{U}\})$  is closed.

By construction,  $A \notin G^{-\mathfrak{a}_0}$  for any  $G^{-\mathfrak{a}_0} \in \underline{U}$ , so for  $A \neq \emptyset$  there must exist some  $K_0^{+\mathfrak{a}_0} \in \underline{U}$  with  $A \in K_0^{+\mathfrak{a}_0}$ , yielding that  $K_0 \subseteq \bigcup \{G \mid G^{-\mathfrak{a}_0} \in \underline{U}\}$ ;  $K_0$  compact  $\Rightarrow \exists G_1, \dots, G_n \in \underline{U}$  with  $K_0 \subseteq \bigcup_{k=1}^n G_k$ , but then  $\{K_0^{+\mathfrak{a}_0}\} \cup \{G_1^{-\mathfrak{a}_0}, \dots, G_n^{-\mathfrak{a}_0}\}$  is a cover of  $\mathfrak{A}_0$ .

If  $A = \emptyset$ , then  $\bigcup \{G_i \mid G_i^{-\mathfrak{a}_0} \in \underline{U}\} = X$ , so from the compactness of  $X$  the existence of some  $G_1^{-\mathfrak{a}_0}, \dots, G_n^{-\mathfrak{a}_0} \in \underline{U}$  with  $X = \bigcup_{k=1}^n G_k$  follows. By Lemma 1.1 then  $\bigcup_{k=1}^n G_k^{-\mathfrak{a}_0} = \mathfrak{A}_0$  holds.  $\square$

Many known theorems of compactness w.r.t. the Fell- or the Vietoris-topology follow immediately from the above result.

**Lemma 2.7.** *Let  $(X, \tau)$  be a topological space,  $\mathfrak{A} \subseteq \mathfrak{P}_0(X)$  with  $Cl(X) \subseteq \mathfrak{A}$  and  $\alpha \subseteq Cl(X)$ . If  $R \subseteq X$  is relatively compact in  $X$ , then  $\mathfrak{P}_0(R) \cap \mathfrak{A}$  is relatively compact in  $(\mathfrak{A}, \tau_\alpha)$ .*

*Proof.* Let  $\mathfrak{B} := \{O_i^{-\mathfrak{a}} \mid i \in I, O_i \in \tau\} \cup \{C_j^{+\mathfrak{a}} \mid j \in J, C_j \in \alpha\}$  be an open cover of  $\mathfrak{A}$  by subbase elements of  $\tau_\alpha$ . Let  $O := \bigcup_{i \in I} O_i$ .

If  $O = X$ , then there exists finitely many  $i_1, \dots, i_n \in I$  with  $\bigcup_{k=1}^n O_{i_k} \supseteq R$ , because  $R$  is relatively compact, and thus  $\bigcup_{k=1}^n O_{i_k}^{-\mathfrak{a}} \supseteq R^{-\mathfrak{a}} \supseteq \mathfrak{P}_0(R) \cap \mathfrak{A}$ , by Lemma 1.1.

If  $O \neq X$ , then  $X \setminus O$  is nonempty and closed, but not covered by the  $O_i^{-\mathfrak{a}}$  from  $\mathfrak{B}$ . Thus, there must exist a  $j_0 \in J$  with  $X \setminus O \in C_{j_0}^{+\mathfrak{a}}$ , implying  $C_{j_0} \subseteq O$ . Now, we have  $\mathfrak{P}_0(R) \cap \mathfrak{A} = (\mathfrak{P}_0(R) \cap C_{j_0}^{+\mathfrak{a}}) \cup (\mathfrak{P}_0(R) \cap C_{j_0}^{-\mathfrak{a}})$ , and, of course,  $\mathfrak{P}_0(R) \cap C_{j_0}^{+\mathfrak{a}}$  is covered just by  $C_{j_0}^{+\mathfrak{a}} \in \mathfrak{B}$ . So, we have to find a finite subcover for  $(\mathfrak{P}_0(R) \cap C_{j_0}^{-\mathfrak{a}})$ ,

if this is not empty. Observe, that  $R \cap C_{j_0}$  is relatively compact in  $X$ , because it is a subset of  $R$ . Furthermore,  $\{O_i \mid i \in I\} \cup \{X \setminus C_{j_0}\}$  is an open cover of  $X$ . Thus we find again finitely many  $i_1, \dots, i_n \in I$ , s.t.  $\bigcup_{k=1}^n O_{i_k} \supseteq R \cap C_{j_0}$  (because  $X \setminus C_{j_0}$  can be removed from any cover of  $R \cap C_{j_0}$  without losing the covering property). Therefore  $\bigcup_{k=1}^n O_{i_k}^{-\alpha} \supseteq (R \cap C_{j_0})^{-\alpha}$ , by Lemma 1.1. But  $\mathfrak{P}_0(R) \cap C_{j_0}^{-\alpha} \subseteq (R \cap C_{j_0})^{-\alpha}$  holds, because any subset of  $R$ , which hits  $C_{j_0}$ , automatically hits  $R \cap C_{j_0}$ .  $\square$

### 3. COMPACT UNIONS

As an interesting application of a simple set-theoretical property, concerning the  $^+$ -operator, we want to take a brief look at the naturally arising question, whether a union of compact sets itself is compact. Michael showed in [6] that a union of closed sets is compact, if the unifying family is compact w.r.t. the Vietoris-topology. Now, the Vietoris-topology is induced by the upper-Vietoris  $\tau_V^+$  (miss sets:  $A^{+\alpha}$  with  $A^c \in \tau$ ) and  $\tau_l$ , but  $\tau_l$  is not sufficient to enforce compactness of a union of compact sets, as the following example shows: Let  $X := \mathbb{R}$ , endowed with euclidian topology,  $\mathfrak{M} := \{[-m, m] \mid m \in \mathbb{N}\}$ . Then  $\bigcup_{M \in \mathfrak{M}} M = \mathbb{R}$ , is obviously not compact. But every cover of  $\mathfrak{M}$  with elements of the defining subbase for  $\tau_l$  must especially cover the element  $\{0\} = [0, 0]$  of  $\mathfrak{M}$ , so it must contain a set  $O^-$  with  $0 \in O$ . Now, every element of  $\mathfrak{M}$  contains the point 0, thus  $\mathfrak{M} \subseteq O^-$  follows. So,  $\mathfrak{M}$  is compact in  $\tau_l$  by Alexander's subbase Lemma.

And unifying compact sets,  $\tau_l$  is not necessary, too, as we will see.

**Proposition 3.1.** *Let  $X$  be a set,  $\mathfrak{X} \subseteq \mathfrak{P}(X)$  and  $\mathfrak{M} \subseteq \mathfrak{X}$ . Then holds*

$$\bigcup_{i \in I} C_i^{+\mathfrak{X}} \supseteq \mathfrak{M} \implies \bigcup_{i \in I} C_i^c \supseteq \bigcup_{M \in \mathfrak{M}} M$$

for every collection  $C_i, i \in I$ .

*Proof.* For every  $M \in \mathfrak{M}$  there must exist an  $i_M \in I$  with  $M \in C_{i_M}^{+\mathfrak{X}}$ , because of  $\bigcup_{i \in I} C_i^{+\mathfrak{X}} \supseteq \mathfrak{M}$ . Thus  $M \subseteq C_{i_M}^c \subseteq \bigcup_{i \in I} C_i^c$ .  $\square$

In [5] it was shown

**Lemma 3.2.** *Let  $(X, \tau)$  be a topological space and  $\mathfrak{M} \subseteq K(X)$  compact w.r.t. the upper-Vietoris topology. Then*

$$K := \bigcup_{M \in \mathfrak{M}} M$$

is compact w.r.t.  $\tau$ .

Applying our simple set-theoretical statement, we get a similar result for unions of relatively compact subsets.

**Lemma 3.3.** *Let  $(X, \tau)$  be a topological space, let  $\mathfrak{X}$  be the family of all relatively compact subsets of  $X$  and let  $\mathfrak{M} \subseteq \mathfrak{X}$  be relatively compact in  $\mathfrak{X}$  w.r.t. the upper Vietoris topology. Then*

$$R := \bigcup_{M \in \mathfrak{M}} M$$

is relatively compact in  $(X, \tau)$ .

*Proof.* Let  $\bigcup_{i \in I} O_i \supseteq X$  with  $O_i \in \tau, i \in I$  an open cover of  $X$ . Because of the relative compactness of all  $P \in \mathfrak{X}$ , there is a finite subcover  $O_{i_P^1}, \dots, O_{i_P^{n_P}}$  for every  $P \in \mathfrak{X}$ , i.e.  $O_P := \bigcup_{k=1}^{n_P} O_{i_P^k} \supseteq P$ . Of course,  $O_P \in \tau$  and so  $(O_P)^c$  is closed w.r.t.  $\tau$ . Furthermore,  $P \cap (O_P)^c = \emptyset$ , implying  $P \in (O_P^c)^{+\mathfrak{X}}$ . Thus we have  $\mathfrak{X} \subseteq \bigcup_{P \in \mathfrak{X}} (O_P^c)^{+\mathfrak{X}}$ , where the  $(O_P^c)^{+\mathfrak{X}}$  are open w.r.t. the upper-Vietoris topology. Because of the relative compactness of  $\mathfrak{X}$  w.r.t. the upper-Vietoris topology, there

must exist finitely many  $P_1, \dots, P_n \in \mathfrak{X}$  with  $\mathfrak{M} \subseteq \bigcup_{j=1}^n (O_{P_j}^c)^{+x}$ . Now, from Proposition 3.1 we get  $R = \bigcup_{M \in \mathfrak{M}} M \subseteq \bigcup_{j=1}^n O_{P_j}$ , where every  $O_{P_j}$  is a finite union of members of the original cover  $\{O_i | i \in I\}$  by construction.  $\square$

**Corollary 3.4.** *Let  $(X, \tau)$  be a topological space and let  $\mathfrak{M} \subseteq \mathfrak{P}_0(X)$  consist of relatively compact subsets of  $X$ . If  $\mathfrak{M}$  is compact w.r.t. the upper-Vietoris topology, then*

$$R := \bigcup_{M \in \mathfrak{M}} M$$

*is relatively compact in  $(X, \tau)$ .*

*Proof.*  $\mathfrak{M}$  is compact and therefore relatively compact in every set, which contains  $\mathfrak{M}$ , especially in the family of all relatively compact subsets of  $X$ . So, Lemma 3.3 applies.  $\square$

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